

# (Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# METHODS FOR ESTIMATING THE BODY VOLTAGE OF DIGITAL PARTIALLY DEPLETED SILICON-ON-INSULATOR CIRCUITS

This declaration is of the following type:

[X]	original
	design
[]	national stage of PCT.
	divisional
	continuation
	divisional continuation continuation-in-part (C-I-P)
ā	
the spe	ecification of which: (complete (a), (b), or (c))
N	
(a) 🔯	] is attached hereto.
	was filed on as Application Serial No. and was amended on (if applicable).
(c) []	was described and claimed in PCT International Application No. filed on and was amended on (if
applic	able).
<u> </u>	
	Acknowledgement of Review of Papers and Duty of Candor
	I hereby state that I have reviewed and understand the contents of the above identified specification,
includ	ing the claims, as amended by any amendment referred to above.
	I acknowledge the duty to disclose information which is material to the patentability of the subject matter
claime	ed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.
	[] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.

### **Priority Claim**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed

(complete (d) or (e))

- (d) [X] no such applications have been filed.
- (e) [] such applications have been filed as follows:

PRIOR FOREIGN/I	PCT APPLICATION(S) FILED W. 12 MON	THS (6 MONTHS FOR DESIGN) PRIOR TO	SAID LICATION	<u> </u>
COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
				[] YES NO []
				[]YES NO []
				[] YES NO []
ALL FOREIGN AP	PLICATION[S], IF ANY, FILED MORE THAN	12 MONTHS (6 MONTHS FOR DESIGN) PRI	OR TO SAID APPLICATION	
				[] YES NO []
				[] YES NO []
				[] YES NO []

## Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date	
60/138,842	June 10, 1999	

# Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

(complete this part only if this is a divisional, continuation or C-I-P application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PET international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
<u> </u>		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

### **Power of Attorney**

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836; Henry Tang, Reg. No. 29,705; Robert C. Scheinfeld, Reg. No. 31,300; John A. Fogarty, Jr., Reg. No. 22,348; Louis S. Sorell, Reg. No. 32,439; Rochelle K. Seide Reg. No. 32,300; Gary M. Butter, Reg. No. 33,841; Marta E. Delsignore, Reg. No. 32,689; Paul A. Ragusa, Reg. No. 38,587; and Lisa B. Kole, Reg. No. 35,225 of the firm of BAKER BOTTS L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO:
HENRY TANG	BAKER BOTTS L.L.P.
BAKER BOTTS L.L.P.	(212) 705-5000
30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112	(===)
CUSTOMER NUMBER: 21003	

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NY02:267363.1

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE	LAST NAME	FIRST NAME	MIDDLE NAME	
OR FIRST INVENTOR	SHEPARD	KENNETH		
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZEN	NSHIP
	Ossining	New York	United States	
POST OFFICE	POST OFFICE ADDRESS	СІТҮ	STATE or COUNTRY	ZIP CODE
ADDRESS	4 <b>6</b> Snowden Avenue	Ossining	New York	10562
DATE 6/9 (2000	SIGNATURE OF INVENTOR			
FULL NAME OF SECOND IOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	СІТУ	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZEN	NSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY	ZIP CODE
DA TE	SIGNATURE OF INVENTOR			
FUCE NAME OF FOURTH JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZEN	NSHIP
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
DATE	SIGNATURE OF INVENTOR			

	$C_1$ = 1 = 0 = 0.1 = 0.4 = 0
[]	Check proper box(es) for any added page(s) forming a part of this declaration  Signature for ninth and subsequent joint inventors. Number of pages added  Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.
[]	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.
	Number of pages added
[]	Signature for inventor who refuses to sign, or cannot be reached, by person authorized under 37 CFR 1.47.
	Number of pages added

Attornev's	secket No.	AP32158 -	070050,1280
			er Botts L.L.P

Applicant or Patentee: Kenneth Shepard
Serial or Patent No.: Not Yet Assigned Filed: June 9, 2000  For: METHODS FOR ESTIMATING THE BODY VOLTAGE OF DIGITAL PARTIALLY DEPLETED SILICON-
For: METHODS FOR ESTIMATING THE BODY VOLTAGE OF DIGITAL TAKEN AND THE
ON-INSULATOR CIRCUITS
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION
I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:
NAME OF ORGANIZATION THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY
NAME OF ORGANIZATION THE TRUSTEDS OF COLONIARY CO.
Of NEW TORKS
ADDRESS OF ORGANIZATION 116th Street and Broadway, New York, NY 10027
TYPE OF ORGANIZATION
= FOI TIKITUEDUTI VITIDITI HER INSTITUTION OF FILITIAL EDUCATACE
FILTAY EVENDED INTERNAL REVENUE SERVICE CODE [26 USC 501(a) and 501(c)(3))
NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  (NAME OF STATE)
(CITATION OF STATUTE)
WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC
501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
[] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF
[] WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA [] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  AMERICA
AMERICA
(NAME OF STATE)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled METHODS FOR ESTIMATING THE BODY VOLTAGE OF DIGITAL PARTIALLY DEPLETED SILICON-ON-INSULATOR CIRCUITS by inventor Kenneth Shepard

described in

X	the specific	cation filed	herewith	
]	Application	Scrial No.	filed _	
j	Patent No	, issued _	<b>_</b> •	

(CITATION OF STATUTE \_\_)

I hereby declare that the rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights

NYU2:267355.1





Baker Botts L.L.P.

Attorney's Docket No. AP32158 - 070050.1280

to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

CIE

NAME	
ADDRESS	
NAME	
ADDRESS	
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION	
lacknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 or Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application patent issuing thereon, or any patent to which this verified statement is directed.	Í
NAME OF PERSON SIGNINGBeth H. Israel	
E	
THLE IN ORGANIZATION Executive Director, Office of Projects and Grants	
ADDRESS OF PERSON SIGNING 500 West 120th Street, Engineering Terrace - 351, New York, NY 10027-60	<u> 699</u>
SIGNATURE BOX Skear DATE June 9, 2000	

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